

# Analysis of Preventable Health Care Events Using the Minnesota APCD

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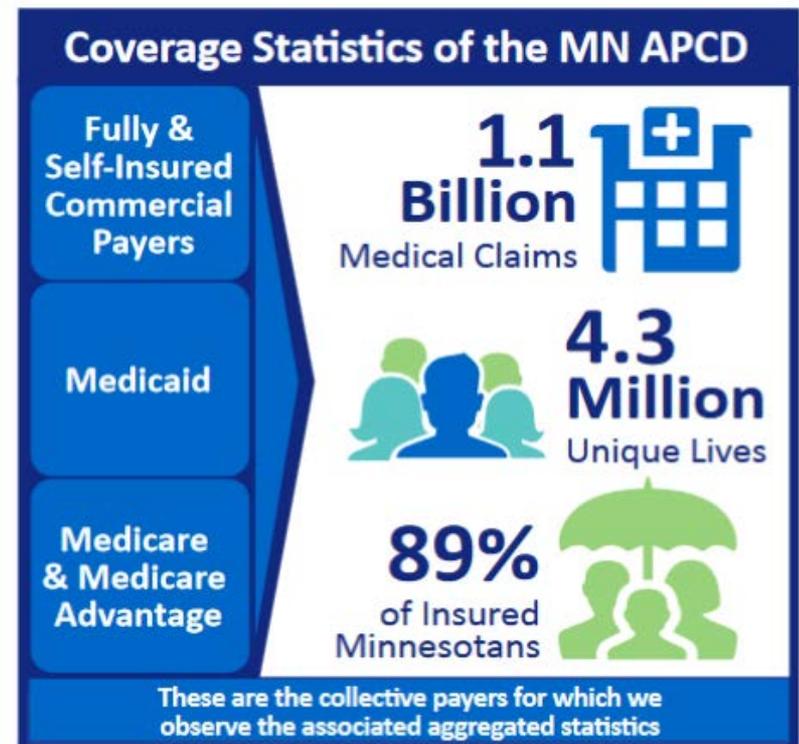
# Overview

- Background
  - What is the MN APCD?
  - Origins/current uses
- Analyses of preventable health care events
  - 2014: ED visits, admissions, readmissions
  - 2016: readmissions for heart failure
- Next steps/lessons

# Background

# What is the MN All Payer Claims Data?

- Large-scale database that systematically collects and integrates claims data from different payers:
  - Enrollment information
  - Medical & pharmacy claims
  - Actual transaction prices
- Geographically rich detail on:
  - Diagnosed health conditions
  - Delivered health care services
- Some important limitations
  - Claims
  - Data thickness
  - Prices in claims ... are tricky



Medical claims cover the period of 2009 through June 2015; unique lives are based on average monthly reports for 2013; insured Minnesotans were estimated using data from the 2013 Minnesota Health Access Survey; and Self-insured Commercial payers include third-party administrators.

# Data Composition and Use Context

- **Some constraints:**
  - Claims for payers not subject to Minnesota laws are currently excluded (Tricare, VA, Workers Compensation, Indian Health Services)
  - Medicare substance abuse data are missing from a certain point forward
  - When patients' contact information differs over time, maintaining linkage can be challenging
  - Data is de-identified
- **Claims ... are claims:**
  - Only what is paid for is coded (dementia, Alzheimer disease)
  - Diagnosed prevalence
  - Some costs that are not service-specific are part of the claim (e.g., education funding)
  - Other costs that are services-specific may not be included in a claim (e.g., withholds, incentive payments)

# MN APCD: Origin & Its (Somewhat) Circuitous Path

## Phase I Development

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## Phase II Provider Transparency

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## Phase III Health Policy Research

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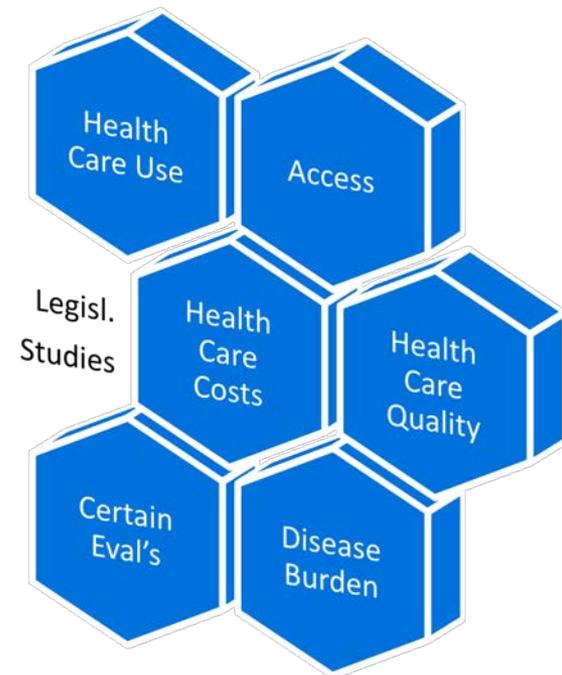


### Legislative Focus

- Provider transparency
- Public Health
- Quality measurement
- Delivery system reform
- Payment reform

# Permitted Uses of the MN APCD Through 2019

- Access limited to MDH for specific, but broad authorized uses
- Limits on the granularity of published data  
**(identifying of individual providers not permitted)**
- Public Use File process begun in 2016
  - Three initial files
  - Evolving set of content and vintages of data



# Select Current Analyses

- Who delivers chronic pain management services in MN?
- What is the burden of chronic disease in MN (nos & \$\$)?
- What are the drivers of health care spending in MN's commercial market ... price, case mix, volume?
- What factors drive prescription drug spending in the state?
- What is the volume of potentially preventable health care services in MN?
- What is the state of pediatric quality of care and what differences exist across the state?

# Potentially Preventable ED Visits, Admissions & Readmissions

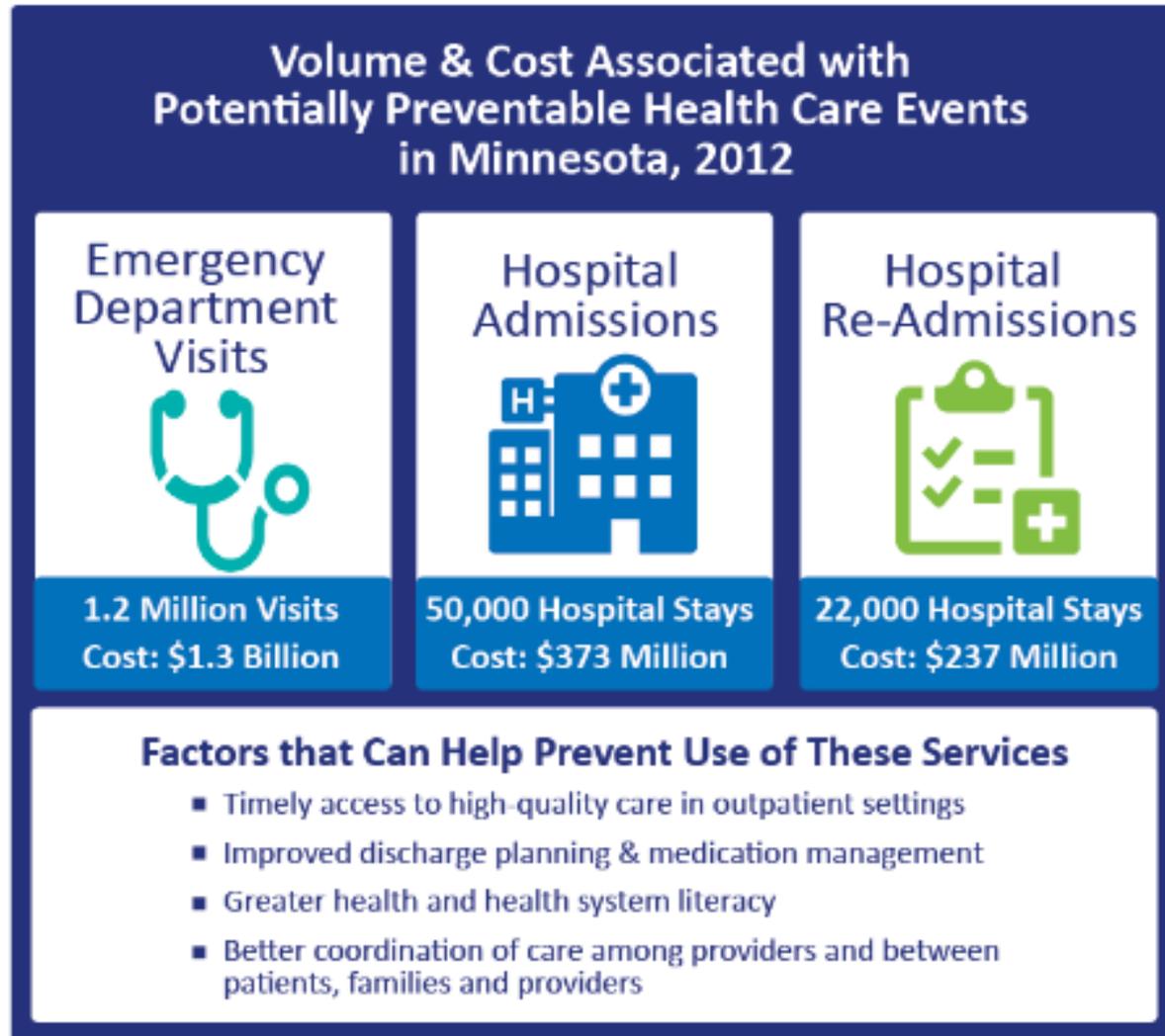
# Why Study Preventable Events in MN?

- Health care spending:
  - Consumes a sizable share of the economy (opportunity costs)
  - Growth in health care exceeds growth in wages and the economy
- National research suggests that overuse, underuse and misuse of health care services contributes to sizable:
  - Inefficiencies, and
  - Waste
- Appropriate use of health care services also helps produce:
  - Improvements in quality of care and
  - Improvements in patient experience

# Why Study Preventable Events in MN, cont'd

- Identifying overuse, underuse & misuse is complex
- Finding solutions that work universally is difficult
- System change often starts with:
  - Conducting sentinel analysis (describe the scope);
  - Identifying “easy” wins (hot-spotting)
  - Implementing w/certain population groups
- Identifying PPEs allows discussion on how to move care upstream: ensure right care, in right setting, at right time
- For patients: less fragmentation, lower costs, less time lost to hospital, ED, etc.

# Potential Preventable Health Care Events



# Major Findings

- PPEs represent a large opportunity in redirecting spending
  - 4.8% of total health care spending
- Public health care programs made up 14 percent of the population but 40 percent of PPEs
- Large share of ED visits were potentially preventable (75 percent)
  - 50,000 had 4 or more PPVs
- Not all patients with a potentially preventable event have complex needs:
  - slightly more than half of patients with PPE and close to 40% with PPA had otherwise low health care use
- Heart failure in top 3 for both PPA (12.1%) and PPR (6.6%)

# Potentially Preventable Readmissions for Heart Failure

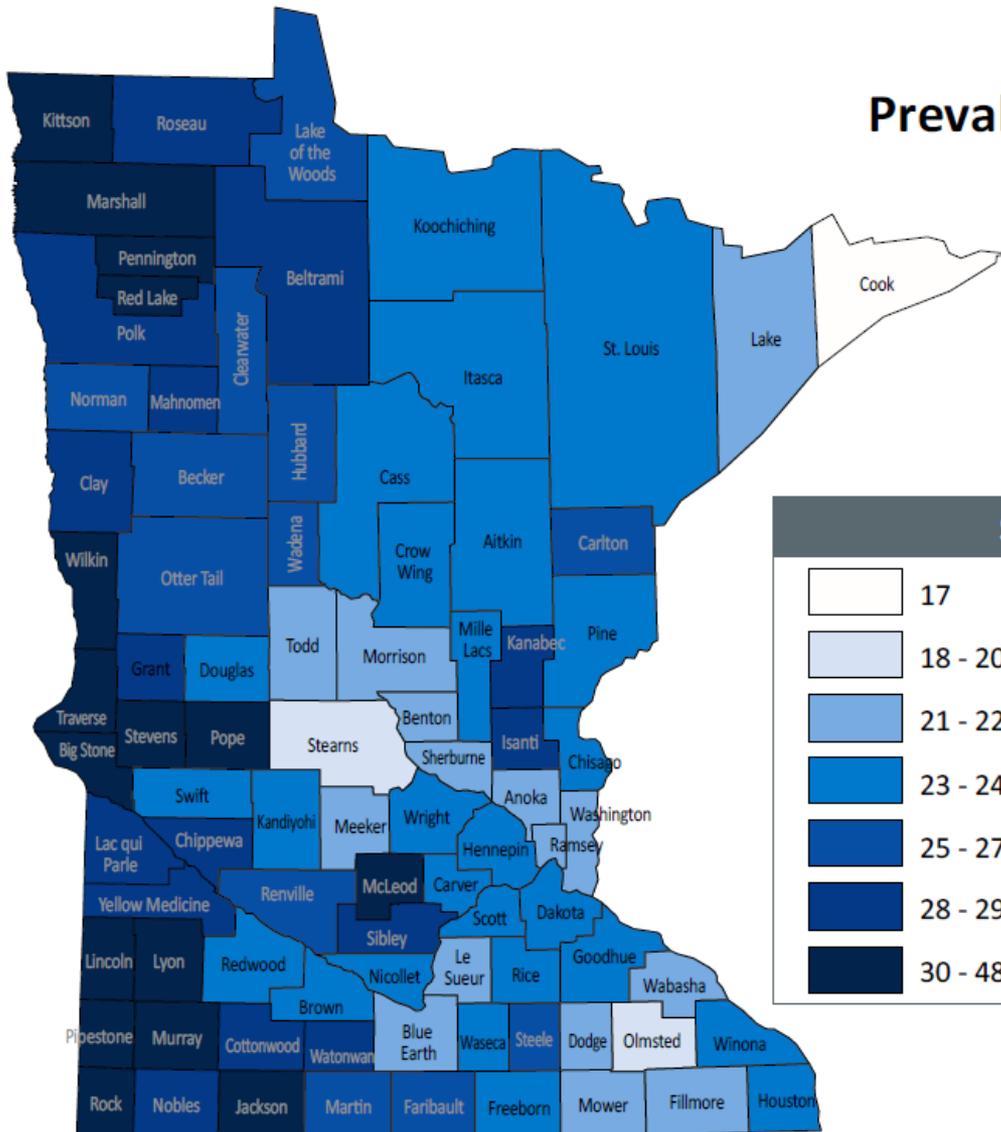
# Heart Failure in MN

## (About 100,000 Residents Affected)

	Rate per 1,000 Minnesotans
Females	25.3
Males	20.9
Children	< 1
Adults under 65 yrs	12.6
Adults 65 yrs and older	<u>101.5</u>
All	23.2

# Prevalence of Congestive Heart Failure across Minnesota Counties

per 1,000 Residents  
Adjusted for Age, Sex, and Payer Mix



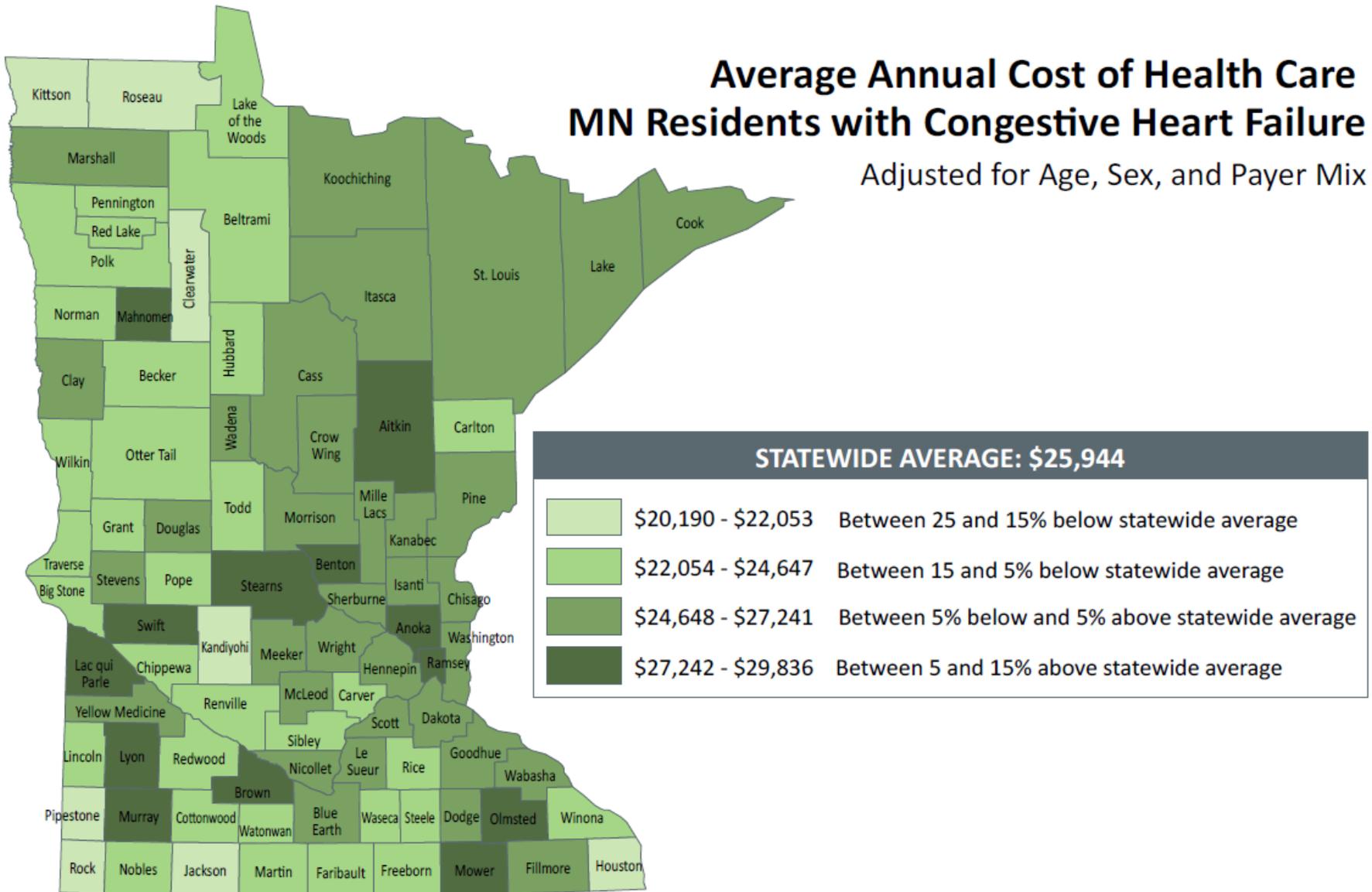
**STATEWIDE RATE: 23 PER 1,000**

17	More than 25% below statewide rate
18 - 20	Between 25 and 15% below statewide rate
21 - 22	Between 15 and 5% below statewide rate
23 - 24	Between 5% below and 5% above statewide rate
25 - 27	Between 5 and 15% above statewide rate
28 - 29	Between 15 and 25% above statewide rate
30 - 48	More than 25% above statewide rate

Source: MDH (2016). Chronic Conditions in Minnesota: New Estimates of Prevalence, Cost and Geographic Variation for Insured Minnesotans, 2012

# Average Annual Cost of Health Care MN Residents with Congestive Heart Failure

Adjusted for Age, Sex, and Payer Mix



Source: MDH (2016). Chronic Conditions in Minnesota: New Estimates of Prevalence, Cost and Geographic Variation for Insured Minnesotans, 2012

# Much Study, But Limited Evidence on What Works



Interventions identified by these reviews: Feltner, C, CD Jones, CW Cené, Z-J Zheng, CA Sueta, et al. (2014). Transitional Care Interventions To Prevent Readmissions for People With Heart Failure. [Effective Health Care Program - Comparative Effectiveness Review](#), AHRQ. and Feltner, C, CD Jones, CW Cene, ZJ Zheng, CA Sueta, et al. (2014). "Transitional care interventions to prevent readmissions for persons with heart failure: a systematic review and meta-analysis." [Ann Intern Med](#) **160**(11): 774-784.

# Study Aims?

- Follow a successful campaign by Reducing Avoidable Readmissions Effectively (RARE)
  - Take advantage of rich data across spectrum of *payers* and *delivery system*
  - Increase our knowledge of what works in preventing PPRs
  - Proof-of-concept for using the MN APCD in other applications to inform care delivery
- Questions:
  - Identify differences in utilization between (1) HF admission followed by a PPR and (2) HF admissions without a PPR
  - Understand risk factors for PPR in patients with a first admission for HF

# Metrics: Preliminary Thoughts

- Outcome: admission without PPR / admission with PPR
  - # Admissions, # readmissions
  - # PPR, % HF PPR of all PPR
- Independent Variables:
  - Age, gender,
  - Complexity/SOI, comorbidities/chronic disease burden
  - Admission source, procedures during index admission
  - Discharge to home health/SNF, payer type, insurance type
- Under consideration:
  - SES (income, poverty, education)
  - Clinical characteristics (care coordination, attribution)
  - Delivery system characteristics (location, services, etc.)

# Considerations

- Readmission in HF is widely studied, but evidence on what works is sparse
- Risk adjustment discussion has intensified
  - Patient complexity, neighborhood factors
  - Interplay between RA and mortality
  - Need to consider out-of-hospital deaths in the analysis
- Not all relevant factors can be studied w/claims data (e.g., ejection fraction)
- Interaction with observation stays?
- Other relevant data that are not in claims?
- Most effective level of dissemination of information

# Lessons

# Lessons from the Use of the MN APCD for Care Transformation

- Without making findings about individual providers, it is tough to affect care practices
  - De-identified data make linkages difficult, but it may matter less for transparency w/lower “t”
  - Transparency about data quality and direct access is important
- Engagement w/stakeholders (clinicians, trade associations, advocacy organizations & media) is essential:
  - To getting the story told - appreciating the value of the findings
  - To gaining expertise and enhance credibility
  - Understanding the politics of data use
- This is complex, time-consuming and incredibly exciting work!



- All Payer Claims Database
- Home
- Public Use Files
- Work Groups
- Data Collection
- Publications
- Related Sites
- Health Economics
- Health Status

Public **Stefan Gildemeister** @StefanG\_at\_HEP · Feb 27  
 MDH Commissioner pens article on HEP study about chronic conditions [bit.ly/1QmS5od](http://bit.ly/1QmS5od) [bit.ly/20swqkY](http://bit.ly/20swqkY)



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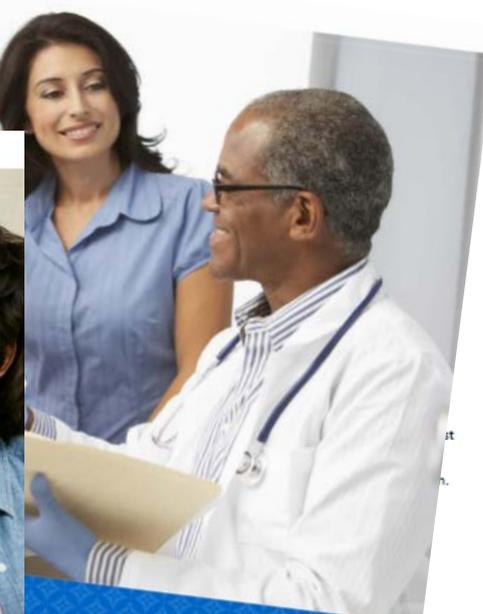
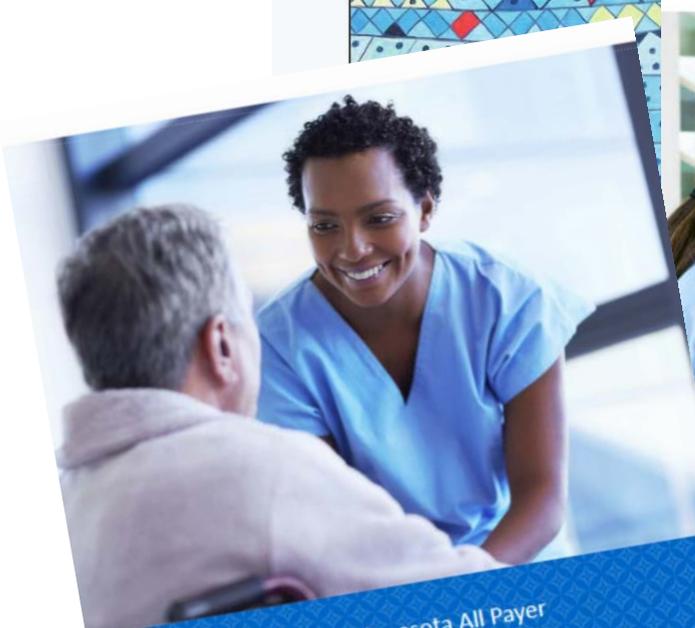


## Current Uses of the Minnesota All Payer Claims Data Set (MN APCD) - August, 2015

### Background

In 2008, MDH Department claims data informati services. a system quality. MDH's

chronic conditions and risk factors,<sup>2</sup> with a report due to the Legislature in early 2016.



**MN APCD** All Payer Claims Database  
 Minnesota All Payer Claims Database  
 State Repository of Health Care Claims Data  
 MARCH 2016

**MN APCD** Payer Claims Database  
 CHRONIC CONDITIONS IN MINNESOTA:  
 New Estimates of Prevalence, Cost and Geographic Variation for Insured Minnesotans, 2012  
 JANUARY 2016

Directory Analysis of Sustainable Health Care Minnesota

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# Contact Information

- MDH – Health Economics Program  
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- Minnesota All Payer Claims Data (MN APCD)  
[www.health.state.mn.us/healthreform/allpayer/](http://www.health.state.mn.us/healthreform/allpayer/)
- Minnesota Health Care Market Statistics  
[www.health.state.mn.us/divs/hpsc/hep/chartbook](http://www.health.state.mn.us/divs/hpsc/hep/chartbook)
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